JC03 Rec'd PCT/PTO 29 SEP 2005

| CORN   | . PTO 1200         | THE DEPARTMENT OF COLOR   | RCE PATENT AND TRADEMARK OFFICE  | ATTORNEY'S DOCKET NUMBER   |  |  |  |  |  |  |  |  |
|--|--------------------|---|--|--|--|--|--|--|--|--|--|--|
| (REV   |                    |   |  | _  |  |  |  |  |  |  |  |  |
|  |                    | DESIGNATED/ELECTEI  | R TO THE UNITED STATES  OFFICE (DO/EO/US)                                    | 050588/299058 U.S. APPLICATION NO. (If known, see 37 C.E.R. 1.5) |  |  |  |  |  |  |  |  |
|  |                    | CONCERNING A FILING   |  | 10/551060  |  |  |  |  |  |  |  |  |
| INTE   | ERNATION           | NAL APPLICATION NO.   | INTERNATIONAL FILING DATE  | PRIORITY DATE CLAIMED  |  |  |  |  |  |  |  |  |
| PC   | Γ/ZA20             | 003/000181  | 5 December 2003  | 2 April 2003   |  |  |  |  |  |  |  |  |
| TITL   | TITLE OF INVENTION |   |  |  |  |  |  |  |  |  |  |  |
| OPTICAL SYSTEM AND METHOD FOR MONITORING VARIABLE IN ROTATING MEMBER                                     |                    |   |  |  |  |  |  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US  |                    |   |  |  |  |  |  |  |  |  |  |  |
| SWART, Pieter Lodewikus; KRUGER, Ludi; CHTCHERBAKOV, Anatoli Aleksandrovich; and VAN WYK, Albertus Japie |                    |   |  |  |  |  |  |  |  |  |  |  |
| App  |                    | icant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |  |  |  |  |  |  |  |  |  |  |
| 1.   | $\boxtimes$        | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.  |  |  |  |  |  |  |  |  |  |  |
| 2.   |                    | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.   |  |  |  |  |  |  |  |  |  |  |
| 3.   | $\boxtimes$        | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). This submission must include items (5), (6), (9) and (21) indicated below.                    |  |  |  |  |  |  |  |  |  |  |
| 4.   | $\boxtimes$        | The US has been elected (Article 31).   |  |  |  |  |  |  |  |  |  |  |
| 5.   | $\boxtimes$        | A copy of the International   | Application as filed (35 U.S.C. 371(c)(2))                                   |  |  |  |  |  |  |  |  |  |
|  |                    | a. 🛛 is attache   | d hereto (required only if not communicate                                   | ed by the International Bureau).                                 |  |  |  |  |  |  |  |  |
|  |                    | b. has been communicated by the International Bureau.   |  |  |  |  |  |  |  |  |  |  |
|  |                    | c. is not required, as the application was filed in the United States Receiving Office (RO/US).   |  |  |  |  |  |  |  |  |  |  |
| 6.   |                    | A English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).   |  |  |  |  |  |  |  |  |  |  |
|  |                    | a. is attache b. has been   | d hereto.<br>previously submitted under 35 U.S.C. 154                        | (d)(4).  |  |  |  |  |  |  |  |  |
| 7.   |                    | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  |  |  |  |  |  |  |  |  |  |  |
|  | -                  | a. are attached hereto (required only if not communicated by the International Bureau).   |  |  |  |  |  |  |  |  |  |  |
|  |                    | b. have been communicated by the International Bureau.  |  |  |  |  |  |  |  |  |  |  |
|  | ì                  |   | been made; nowever, the time finit for ma<br>been made and will not be made. | king such amendments has NOT expired.                            |  |  |  |  |  |  |  |  |
| 8.   |                    | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).   |  |  |  |  |  |  |  |  |  |  |
| 9.   |                    | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  |  |  |  |  |  |  |  |  |  |  |
| 10.  |                    | An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35   |  |  |  |  |  |  |  |  |  |  |
|  |                    | U.S.C. 371(c)(5)).  |  |  |  |  |  |  |  |  |  |  |
|  |                    | 11. To 20. below concern document(s) or information included:   |  |  |  |  |  |  |  |  |  |  |
|  |                    | An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. |  |  |  |  |  |  |  |  |  |  |
|  |                    |   |  |  |  |  |  |  |  |  |  |  |
| 13.  | $\boxtimes$        | A FIRST preliminary amendment.  |  |  |  |  |  |  |  |  |  |  |
| .,   |                    | A SECOND or SUBSEQUENT preliminary amendment.   |  |  |  |  |  |  |  |  |  |  |
|  |                    | An Application Data Sheet under 37 CFR 1.76   |  |  |  |  |  |  |  |  |  |  |
|  |                    | A substitute specification.  A power of attorney and/or change of address letter.   |  |  |  |  |  |  |  |  |  |  |
| 1  |                    | A power of attorney and/or change of address letter.  A computer-readable form of the sequence listing in accordance with PCT Rule 13 <i>ter</i> .2 and 37 CFR 1.821 - 1.825.         |  |  |  |  |  |  |  |  |  |  |
|  |                    | A second copy of the published international application under 35 U.S.C. 154(d)(4).   |  |  |  |  |  |  |  |  |  |  |
|  |                    | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).   |  |  |  |  |  |  |  |  |  |  |
| i .  |                    | A second copy of the English language translation of the International application under 35 0.5.c. 154(d)(4).   |  |  |  |  |  |  |  |  |  |  |

| U.S. APPLICATIONING, UF kab  | 551R0                                | ATTORNEY'S DOCKET NUMBER<br>050588/299058 |   |  |  |               |       |  |  |  |  |
|--|--------------------------------------|---|---|--|--|---------------|-------|--|--|--|--|
| ☐ The following fees ha  | ive been submitte                    | ed:                                       |   |  |  |               |       |  |  |  |  |
| 21. Basic nationa  |                                      | \$300                                     |   |  |  |               |       |  |  |  |  |
| 22. X Examination  | ·                                    | \$200                                     |   |  |  |               |       |  |  |  |  |
| (If the written opinion prepared by the IPEA/  | prepared by IS<br>US indicates al    | <b>\$2</b> 00                             |   |  |  |               |       |  |  |  |  |
| All other situations   |                                      |   |   |  |  |               |       |  |  |  |  |
| 23. Search fee (37   | CFR 1.492(b)                         | \$400                                     |   |  |  |               |       |  |  |  |  |
| If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$ 0  |                                      |   |   |  |  |               |       |  |  |  |  |
| Search fee (37 CFR 1.445(a)(2)) has been paid on the international application   |                                      |   |   |  |  |               |       |  |  |  |  |
| to the USPTO as an International Searching Authority   |                                      |   |   |  |  |               |       |  |  |  |  |
|  |                                      |   |   |  |  |               |       |  |  |  |  |
| or previously commun   |                                      | -   |   |  |  |               |       |  |  |  |  |
| All other situations   |                                      |   |   |  |  |               |       |  |  |  |  |
|  | TOTAL OF                             |   |   | =  |  |               |       |  |  |  |  |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing filed in an electronic medium) (37 CFR 1.492(j))  |                                      |   |   |  |  |               |       |  |  |  |  |
| The fee is \$250.00 for  | each additiona                       | 50 sheets                                 | of paper or fraction t                    | hereof.  | 1  |               |       |  |  |  |  |
| Total Sheets   | Extra<br>Sheets                      |   | of each additional 50 round up to a whole |  | Rate   |               |       |  |  |  |  |
| - 100 =  | /50=                                 |   |   |  | x \$250  | \$            |       |  |  |  |  |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration later the date of commencement of the national stage (37 CFR 1.492(h)).  |                                      |   |   |  |  |               |       |  |  |  |  |
| CLAIMS   | NUMBE                                |   | NUMBER EXTRA                              | RATE   |  |               | 1     |  |  |  |  |
| Total Claims   | 15                                   | -20 =                                     | 0   | X \$ 50.00   |  | \$ 0.00       |       |  |  |  |  |
| Independent Claims   | 2                                    | - 3 =                                     | 0   | X \$200.00   |  | \$ 0.00       |       |  |  |  |  |
| MULTIPLE DEPENDEN  | T CLAIM(S) (if                       |   |   | + \$360.00   |  | \$ 900.00     |       |  |  |  |  |
| Applicant claims s   | mall entity stat                     |   | CFR 1.27. The fees                        |  |  | \$ 900.00     |       |  |  |  |  |
| reduced by 1/2.  | man chiny stat                       |   |   |  |  | \$            |       |  |  |  |  |
|  |                                      | L =                                       | \$ 900.00                                 |  |  |               |       |  |  |  |  |
| Processing fee of \$130  |                                      |   |   | than 30 mont   | hs from the  |               |       |  |  |  |  |
| earliest claimed priorit   | y date (37 CFR                       | k 1.492(i)).                              |   |  |  | \$            |       |  |  |  |  |
|  |                                      |   | TOTAL NAT                                 |  |  | \$ 900.00     |       |  |  |  |  |
| Fee for recording the e  |                                      |   |   |  |  | \$            |       |  |  |  |  |
| accompanied by an app  | propriate cover                      |   | TOTAL FEES ENCL                           |  | erty +   | \$ 900.00     |       |  |  |  |  |
|  |                                      |   | Amount to be<br>Refunded                  | s  |  |               |       |  |  |  |  |
|  |                                      |   | Amount to be                              | S  |  |               |       |  |  |  |  |
| a. A check in the amount of \$ 900.00 to cover the above fees is enclosed. b. Please charge my Deposit Account No. 16-0605 in the amount of \$ to cover the above fees. c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-0605.  Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to |                                      |   |   |  |  |               |       |  |  |  |  |
| restore the application to pending status.  SEND ALL CORRESPONDENCE TO: "Express Mail" Mailing Label Number EV 521115400 US  |                                      |   |   |  |  |               |       |  |  |  |  |
| W. Kevin Ransom  | P—                                   | <del></del>                               |   |  | Mail" Mailing La<br>posit: September   |               | 00 US |  |  |  |  |
| SIGNATURE REGISTRATION NULL ALSTON & BIRD LL Bank of America Plaza 101 South Tryon Stree Charlotte, NC 28280-4 Tel Charlotte Office (7   | P a t, Suite 4000 0000 (04) 444-1000 |   | <b>-</b>                                  | Postal Serv<br>1.10 on the<br>DO/US (PT<br>22313-145 | I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PCT, Attn: DO/US (PTO) Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 |               |       |  |  |  |  |
| Fax Charlotte Office (   |                                      |   |   |  |  | $\mathcal{U}$ |       |  |  |  |  |